

JAN 25 2002 11:18 AM FR LGL DEPT

7323213102 TO 919727329218

P.05

A

01/25/02
JC962 U.S. PTO

01-28-02

PATENTExpress Mail Label No.: EL 862019088 USCase Docket No.: 01-P-18264 US

ASSISTANT COMMISSIONER FOR PATENTS
Washington, D.C. 20231
Box PATENT APPLICATION

J1040 U.S. PTO
10/057575
01/25/02

Transmitted herewith for filing is the patent application of:

Inventor: Ning

For: Vertical MIMCap Manufacturing Method

This application includes:

19 pages: specification and claims
2 sheets of drawings, x formal/ Informal
 photographs

Also enclosed is:

x An Assignment of the invention to Assignee(s):
 x Infineon Technologies North America Corp.
 International Business Machines Corporation
 A certified copy of application
x Declaration and Power of Attorney
x Information Disclosure Statement pursuant to 37 CFR 1.56
 x Form PTO-1449
 Form PTO-1595
x Post Card (2)

The filing fee has been calculated as shown below:

FOR:	(Col. 1) NO. FILED	(Col. 2) NO. EXTRA RATE	OTHER THAN A SMALL ENTITY FEE
BASIC FEE	XXXXXXXX	XXXXXXXX	XXXXX \$740
TOTAL CLAIMS	20 - 20 =	0	X \$18 = \$ 0
INDEP CLAIMS	2 - 3 =	0	X \$84 = \$ 0
* MULTIPLE DEPENDENT CLAIM PRESENTED			+ 280 = \$
ASSIGNMENT(S)	# 1		X \$40 = \$ 40
			TOTAL \$780

Infineon Technologies North America Corp.
c/o Siemens Corporation
Intellectual Property Department
186 Wood Avenue South
Iselin, NJ 08830
Tel. (732) 321-3150
FAX: (732) 321-3014

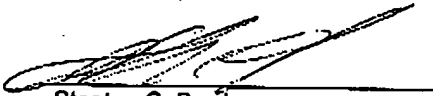
Docket No.: 01-P-18264 US

- 2 -

☒ A fee of \$40.00 to cover recordation of the Assignment(s).
☒ Please charge my Deposit Account No. 19-2179 in the amount of \$780 A
duplicate copy of this sheet is enclosed.
☒ The Commissioner is hereby authorized to charge payment of the following fees associated
with this communication or credit any overpayment to Deposit Account No. 19-2179 A
duplicate copy of this sheet is enclosed.
☒ Any additional filing fees required under 37 CFR 1.16.
☒ Any patent application processing fees under 37 CFR 1.17.
☒ The Commissioner is hereby authorized to charge payment of the following fees during the
pendency of this application or credit any overpayment to Deposit Account No. 19-2179.
A duplicate copy of this sheet is enclosed.
☒ Any patent application processing fees under 37 CFR 1.17
☒ Any filing fees under 37 CFR 1.16 for presentation of extra claims

Respectfully submitted,

Date: 1/25/02


Stanton C. Braden
Registration No. 32,556
Attorney for Applicant

Infineon Technologies North America Corp.
c/o Siemens Corporation
Intellectual Property Department
186 Wood Avenue South
Iselin, NJ 08830
Tel. (732) 321-3150
FAX: (732) 321-3014

20057575-012502

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Ning

Art Unit: TBD

Serial No.: TBD

Examiner: TBD

Filed: January 25, 2002

Docket: 01-P-18264 US

For: Vertical MIMCap Manufacturing Method

Certificate of Mailing via Express Mail
Pursuant to 37 C.F.R. § 1.10

EXPRESS MAIL mailing label number: EL 862019088 US

Date of Deposit: January 25, 2002

I hereby certify that the following documents, which are enclosed, are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service pursuant to 37 C.F.R. 1.10 on the date indicated above and addressed to: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231:

- Complete Copy of Patent Application (21 pages), to include:
 - Specification (19 pages)
 - Formal Drawings (2 pages)
- Declaration and Power of Attorney (3 pages)
- Assignment Recordation Cover Sheet (1 page)
- Copy of Original Signed Assignment (2 pages)
- Information Disclosure Statement Transmittal Letter (1 page)
- Information Disclosure Statement (2 pages) with (4) references
- Fee Transmittal (1 original and 1 copy)
- Two (2) Return Postcards

Respectfully submitted,

Joan K. Konkell

Joan K. Konkell

Slater & Matsil, L.L.P.
17950 Preston Road, Suite 1000
Dallas, TX 75252
Phone: (972) 732-1001
FAX: (972) 732-9218

10057575 "042502"